

TREASURY MANAGEMENT RISK REGISTER

Appendix 3

Risk ID	Risk						Corporate Objective	Gross Risk		Residual Risk		Current Risk		Owner	Date Risk Reviewed
								I	P	I	P	I	P		
Category-000-Service Area Code	Risk Title	Opportunity/Threat	Risk Description	Risk Cause	Consequence	Date raised	1 to 6	I	P	I	P	I	P		
TMS-001	Loss of capital investment	T	Loss of capital investment – if counterparty invested in collapses and is unable to repay the original investment	Collapse of counterparty, counterparty unable to repay investments	The Council will lose money which could potentially have an adverse effect on operational funding	15-Jan-12	6	3	2	2	2	3	2	Anna Winship	15-Jan-12
TMS-002	Interest Rates	O/T	Interest Rates – Although interest rates are currently at an all time low, the period of time that they remain at this level is to be considered. A prolonged period will affect the long term returns for the organisation.	No change to base rate and associated market investment rates, or rates that only move upwards slowly over a prolonged period of time	The Council will not be able to realise the returns on investment as previously projected in the budget	15-Jan-12	6	3	3	2	2	2	2	Anna Winship	15-Jan-12
TMS-003	Revenue Budgets	T	Revenue budgets are unable to meet borrowing costs of capital schemes	Revenue budgets come under pressure from restricted government funding or non delivery of programmed savings	The Council might not be able to execute some desired projects.	15-Jan-12	6	3	3	2	2	2	2	Anna Winship	15-Jan-12
TMS-004	Revenue Savings from Capital Schemes	T	Capital schemes do not deliver revenue savings to fund borrowing costs	Schemes do not meet their financial targets.	The Council might not be able to execute some desired projects.	15-Jan-12	6	3	3	2	2	2	2	Project Sponsor	15-Jan-12
TMS-005	HRA Reform borrowing	T	The borrowing for the HRA reform is not sufficiently well planned, and does not align with the Business Plan	The Business Plan changes and the borrowing requirements are not aligned to the changes	The Council may undertake borrowing that can not be met by the business plan	15-Jan-12	6	3	2	2	2	3	2	Anna Winship	15-Jan-12
TMS-005	Suitable Counterparties for investments	T	With potential for increased investments over the coming months there may be a risk that the number of suitable counterparties diminishes	continuing economic downturn and increased investments	use of counterparties who do not meet our full criteria or use of counterparties not paying best value rates	15-Jan-12	6	3	2	2	2	3	2	Anna Winship	15-Jan-12

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