

Appendix 3

| Title | Risk description | Opp/ threat | Cause | Consequence | Date Raised | Owner | Gross | | Current | | Residual | | Comments | Controls | | | | |
|-------------------|--|-------------|---|---|-------------|--------------|-------|---|---------|---|----------|---|----------|---|----------|-------------|------------|--------------|
| | | | | | | | I | P | I | P | I | P | | Control description | Due date | Status | Progress % | Action Owner |
| Managing demand | Residents applying for DHP later in the year may not receive support due to high levels of expenditure earlier in the year | Threat | Greater demand for DHPs in the first half of the year | Reduced budget available later in the year | 21/07/20 | Paul Wilding | 3 | 3 | 3 | 2 | 3 | 1 | | Expenditure monitored on weekly basis | 31/3/21 | In Progress | 25 | Paul Wilding |
| Impact of Covid19 | Higher unemployment driven by Covid19, leads to high demand for DHPs | Threat | Increase in people claiming Universal Credit or Housing Benefit | More applications for DHP form those in the PRS, and those affected by the Bedroom Tax | 21/07/20 | Paul Wilding | 3 | 4 | 3 | 4 | 2 | 4 | | Work with residents to ensure those who can, progress into work, or claim exempting benefits | 31/3/21 | In Progress | 25 | Paul Wilding |
| Legal challenge | Council successfully challenged on application of policy by unsuccessful applicants | Threat | Inconsistent application of DHP policy, or awards made which are incompatible with policy | Reputational damage and potential award of costs | 21/07/20 | Paul Wilding | 4 | 2 | 4 | 2 | 2 | 1 | | 10% check of applications carried out to ensure decision making is consistent | 31/3/21 | In Progress | 25 | Paul Wilding |
| Resident impact | Unintended negative impact on specific resident groups | Threat | Unforeseen consequence of policy on some resident groups | Some resident groups are discriminated against and more likely to be unsuccessful with their DHP applications | 29/3/18 | Paul Wilding | 3 | 2 | 3 | 2 | 2 | 1 | | Monitor successful and unsuccessful applications against the criteria established in the policy | 31/3/21 | In Progress | 25 | Paul Wilding |

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