

Appendix 1: Risk Register

Title	Risk description	Opp/ threat	Cause	Consequence	Date Raised	Owner	Gross		Current		Residual		Comments	Controls				
							I	P	I	P	I	P		Control description	Due date	Status	Progress %	Action Owner
Breaching Legislation	Supplier fails to adhere to the required regulations and approved code of practices, for example The Control of Asbestos Regulations 2012	T	Inadequate employee training or negligence	Very serious health and safety related risk	04/03/19	Stephen Clarke	9	2	9	2	9	2		There are pass / fail compliance and accreditation questions contained in the tender documentation. Rigorous contract management and monitoring are essential.	04/03/19		100%	Stephen Clarke, Head of Housing and Property
Safeguarding	Supplier may need to enter a Council property that has vulnerable people.	T	Property asbestos surveys need to be conducted within the premise before construction work.	Vulnerable persons may be at risk	04/03/19	Stephen Clarke	8	5	8	5	8	5		Specification requires supplier to be DBS checked.	04/03/19		100%	Contract Monitoring Officers (Claire Bateman)
Tender Award	Failure to award or delay to award the contract	T	Panel cannot agree on scores or Director or the monitoring officer do not agree award recommendation	Council will not comply with legislative requirements and its commitment to site safety.	04/03/19	Stephen Clarke	8	2	8	2	8	2		Procurement will facilitate moderation and complete a robust tender evaluation award report.	04/03/19		75%	Nicky Atkin, Contracts & Procurement Officer
Contract rates are higher than the allotted budget	Contract rates are higher than the allotted budget	T	There is a need for more surveys than originally envisaged	The Council would have to increase the budget to meet requirement.	04/03/19	Stephen Clarke	2	7	2	7	2	7		Previous costs has been reviewed and this has fed into the budget setting process.	04/03/19		100%	Stephen Clarke, Head of Housing and Property

This page is intentionally left blank