

Appendix 4

Title	Risk description	Opp/ threat	Cause	Consequence	Date Raised	Owner	Gross		Current		Residual		Comments	Controls				
							I	P	I	P	I	P		Control description	Due date	Status	Progress %	Action Owner
Clawback of funding	Programme funding not accounted for in accordance with ESF rules, resulting in clawback.	Threat	ESF rules are complex and require high levels of verification of expenditure and participant details	Funding can be clawed back and small errors can be extrapolated across all similar expenditure resulting in large penalties	1/5/16	Paul Wilding	4	3	4	2	4	2		Appropriate resource allocated to managing the programme. All engagement with grant recipients emphasises the need to account for funding in accordance with ESF rules	31/3/19	In Progress	75	Paul Wilding
Take-up of grants	Low levels of interest in grants programme	Opp	Insufficient publicity about the programme	Low numbers of applications received	1/5/16	Paul Wilding	3	3	3	2	3	2		Publicity undertaken through normal city council channels as well as those of OxLep and OCVA	1/7/17	Completed	100	Geoff Foulkes
Quality of applications	Applications for funding are of a low quality	Opp	Lack of understanding of the programme objectives, and operation of ESF funding	Applications are of a poor quality, and are unsuccessful	1/5/16	Paul Wilding	3	3	3	1	3	1		Digital toolkit developed which explains the aim of the programme and provides guidance for each question. Pre-application events held for interested organisations	1/7/17	Completed	100	Geoff Foulkes

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