					Date Raised	Owner	Gross		Current		Residual		Comments	Controls				
Title	Risk description	Opp/ threat	Cause	Consequence			I	P	I	P	I	P		Control description	Due date	Status	Progress %	Action Owner
Policy	Absence of a comprehensive policy framework to protect Information.	threat	Lack of human resource to champion this piece of work.	Inadequate protection of information and personal data.	4/11/2015	Head of Business Improvement	3	3	3	3	1	2		The Information Management policy framework being updated to support data protection and wider information security.	April 2016	in progress	10%	Chief Technology and Information Manager
Compliance and reputational	Poor maintenance of secure and confidential data leading to major data loss and adverse impact on reputation	threat	Lack of skill and awareness in dealing with and handling sensitive personal data.	Adverse impact on public trust in the council's ability to manage personal data.	4/11/2015	Head of Business Improvement	4	3	4	3	4	2		Recruitment of Data Protection officer, 'Champions' to provide advice around data protection.	ongoing	in progress	50%	Chief Technology and Information Manager
Operational	Little or no awareness of what information and data is collected and held by the the County Council on behalf of the City Council	threat	Knowledge gaps resulting from Oxord city council's transition from oxfordshire county council who previosuly managed data.	Unable to measure the breedth of iformation risk without knowing what is held.	4/11/2015	Head of Business Improvement	3	3	3	3	1	1		Work has commenced to identify what information is held through the creation of an organisational information assets register. Data protection compliance	February 2016	in progress	15%	Chief Technology and Information Manager
Operational	Failure to monitor compliance with the data protection policy.	threat	Lack of human resource and automated processes that effectively monitor compliance.	Non compliance would damage the organisation financially and reputationally.	4/11/2015	Head of Business Improvement	4	3	3	3	2	2		Work has commenced to develop an annual compliance assessment framework of all services areas.	April 2016	in progress	5%	Chief Technology and Information Manager
Financial	Data breach leading to ICO fine	threat	personal sensitive data provided incorrect recipient(s)	ICO investigation and fine of up to £500K; additional media attention; reputational damage	4/11/2015	Head of Business Improvement	4	2	4	2	4	1		The Information Management policy framework being updated to support data protection and wider information security.	Ongoing	in progress	5%	Chief Technology and Information Manager

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