

Appendix 1

Appendix 1: Risk Register

					Date Raised	Owner	Gross		Current		Residual		Comments	Controls				
Title	Risk description	Opp/ threat	Cause	Consequence			I	P	I	P	I	P		Control description	Due date	Status	Progress %	Action Owner
Procurement	Decline in homes secured for Local Housing Allowance (LHA) tenants	Threat	landlords exiting the LHA market for either taxation, higher rents, poor service or bad experience reasons	longer stays in temporary accommodation	12.12.18	PRS Team Leader	4	3	3	2	2	2	Accept the risk	Stay engaged with landlords to monitor any behavioural changes, risk continues to reduce as personal relationships are strengthened	ongoing			David Rundle
Universal Credit 31	Payment delays/sanctions or continuing freeze of LHA known as Housing Cost Element	Threat	DWP administration or Tenant delays	rent arrears may lead to bad debts exceeding 3% allowance	12.12.18	Income Team Leader	3	3	3	2	3	2	Reduce risk	good engagement with the tenant and DWP as required and use of managed payment. Lobby for LHA alignment	ongoing			Caren O'Keefe/ David Rundle
Internal Processes	Resilience in Teams	Threat/ Opportunity	Over reliance on specialist individuals in manual process/coaching journey	Rental loss through voids or arrears and delays in withdrawal of rental top ups	12.12.18	PRS Team Leader	2	3	2	2	2	1	Reduce the risk	Upskill staff, implement new software and share tasks with clearer processes and good auditing	ongoing			David Rundle
Legal	Interpretation of Homeless law over intentionality or affordability	Threat	Little case law on applicants unreasonable refusal to co-operate and withdrawal of top ups leading to eviction	Compliance with top up with drawl plans is unenforceable	12.12.18	Options Manager	3	3	3	2	3	1	Accept risk	Ensure fair and proportionate personal housing plans backed by comprehensive notes and adequate funds to appeal.	ongoing			Fatheyta Latif

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